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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,101	07/06/2001	Brent Carlson	2003696-0003

CONFIRMATION NO. 4414

## FORMALITIES LETTER



\*OC000000006461940\*

24280  
Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 BABRAHA1 00000059 09900101

01 FC:205

65.00 OP

SECRET

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Attorney Docket No.: 2003696-0003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Carlson, *et al*

Examiner:

Serial No.: 09/900,101

Art Unit: 2171

Filing Date: July 6, 2001

Title: TARGETED ASSET CAPTURE, IDENTIFICATION, AND  
MANAGEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

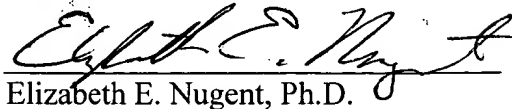
**TRANSMITTAL**

Enclosed herewith please find:

- 1) Response to Notice to File Missing Parts (2 pgs.)
- 2) Notice to File Missing Parts;
- 3) Check in the amount of \$65.00 for the 37 C.F.R. 1.16(e) surcharge;
- 4) Executed Declaration (3 pgs.);
- 5) Copy of Executed Assignment (3 pgs.);
- 6) Executed Appointment of Attorney;
- 7) Verified Statement Claiming Small Entity Status (2 pgs.);
- 8) Establishing Right of Assignee to Take Action (37 C.F.R. 3.73(b)) (2 pgs.); and
- 9) Return postcard.

Please charge any fees associated with this filing, or apply any credits, to our Deposit  
Account No. 03-1721.

Respectfully submitted,

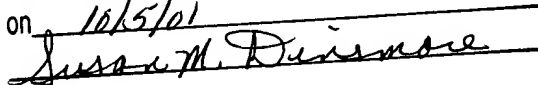


Elizabeth E. Nugent, Ph.D.  
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(617) 248-5000  
Dated: October 5, 2001

3320899v1

I hereby certify that this correspondence is being  
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Commissioner For Patents, Washington, D.C. 20231  
on 10/5/01





Attorney Docket No.: 2003696-0003

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Title: TARGETED ASSET CAPTURE, IDENTIFICATION, AND  
MANAGEMENT

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts in the above-referenced application, mailed by the U.S. Patent and Trademark Office on August 23, 2001, enclosed herewith are the following

- 1) Notice to File Missing Parts;
- 2) Check in the amount of \$65.00 for the 37 C.F.R. 1.16(e) surcharge;
- 3) Executed Declaration (3 pgs.);
- 4) Copy of Executed Assignment (3 pgs.);
- 5) Executed Appointment of Attorney;
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Elizabeth E. Nugent, Ph.D.

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Dated: October 5, 2001

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